

11197/15

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/581046

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO

PCT/JP2004/018266

INTERNATIONAL FILING DATE

08 December 2004

PRIORITY DATE CLAIMED:

09 December 2003

TITLE OF INVENTION

**THERMOPLASTIC RESIN COMPOSITION FOR MASTERBATCH, PROCESS FOR PRODUCING  
MOLDING MATERIAL COMPRISING THE SAME, THERMOPLASTIC RESIN COMPOSITION  
OBTAINED WITH THE SAME, AND PROCESS FOR PRODUCING THE COMPOSITION**

APPLICANT(S) FOR DO/EO/US


SATO, Maki; TAKEUCHI, Hideo; TANAKA, Machiko; KUBOTA, Fuyuhiko; GYOBU, Shoichi;  
YASUDA, Shigeru; NISHIO, Kenichi; OHNISHI, Toshimasa

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **INTERNATIONAL SEARCH REPORT, COVER PAGE OF PUBLISHED PCT APPLICATION**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/581046</b>		INTERNATIONAL APPLICATION NO PCT/JP2004/018266		ATTORNEY'S DOCKET NUMBER 11197/15	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Fee.....		\$ 300.00		\$ 300.00	
22 <input checked="" type="checkbox"/> Examination Fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$ 100.00					
All other situations .....		\$ 200.00		\$ 200.00	
23. <input checked="" type="checkbox"/> Search Fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$ 100.00					
International Search Report prepared and provided to the Office.....		\$ 400.00		\$ 400.00	
All other situations .....		\$ 500.00			
<b>TOTAL OF ABOVE CALCULATIONS</b>				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
75	0	0	x \$250	\$ -0-	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	56- 20 =0	36	x \$50.00	\$1,800.00	
Independent Claims	5- 3 = 2	2	x \$200.00	\$ 400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ -0-	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$3,100.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ -0-	
<b>SUBTOTAL =</b>				\$3,100.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -0-	
<b>TOTAL NATIONAL FEE =</b>				\$3,100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ -0-	
<b>TOTAL FEES ENCLOSED =</b>				\$3,100.00	
				Amount to be refunded:	\$
				Amount to be charged	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0600</u> in the amount of \$3,100.00 to over the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0600</u>. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div> <p>SEND ALL CORRESPONDENCE TO:</p> <p>KENYON &amp; KENYON LLP</p> <p>1500 K Street, N.W., Suite 700</p> <p>Washington, DC 20005</p> <p><b>CUSTOMER NO. 23838</b></p> </div> <div style="text-align: right;">   <p>SIGNATURE (Reg. No. 48,361) NAME: Cassandra T. Swain, Ph.D. DATE: 30 May 2006</p> </div> </div>					